




Wisconsin Top Ten Federal Health Citations 1/1 – 9/30/2016

Rank	State Rank 2015	Tag	Description of Regulation	Number of Citations	Number of Cites at Harm or IJ
1	2	F323	Facility is free of hazardous environment/supervision and assistive devices to prevent accidents	171	39
2	1	F441	Infection control program designed to prevent the development and control the spread of infection	150	2
3 (tied)	3	F314	Services and treatment to prevent and/or to heal pressure ulcers	142	26
3 (tied)	5	F371	Food stored, prepared, distributed, and served in a manner that prevents foodborne illness	142	2
5	4	F225	Report and investigate allegations of abuse, mistreatment, neglect and misappropriation	131	4
6	6	F309	Care and services to attain/maintain highest practicable level of well-being	119	33
7	8	F425	Pharmaceutical services ensure accurate acquiring and dispensing of medications	95	2
8	9	F315	Care and services to restore as much normal bladder function as possible	92	3
9	7	F329	Each resident's drug regimen is free of unnecessary drugs	77	2
10		F226	Develop and implement policies and procedures prohibiting abuse	66	3




STATE OF WISCONSIN / DEPARTMENT OF HEALTH SERVICES
 Division of Quality Assurance / Bureau of Nursing Home Resident Care

TOP TEN FEDERAL HEALTH CITATIONS – NATION, STATE, REGIONAL OFFICE – 2016 thru 9/30/16						
Nation	State	Southern (Madison)	Southeastern (Milwaukee)	Northeastern (Green Bay)	Northern (Rhinelander)	Western (Eau Claire)
<u>F323</u> - supervision to prevent accidents (4306)	<u>F323</u> - supervision to prevent accidents (171)	<u>F323</u> - supervision to prevent accidents (34)	<u>F323</u> - supervision to prevent accidents (61)	<u>F441</u> - infection control (34)	<u>F371</u> - store, prepare, and serve food under sanitary conditions (22)	<u>F441</u> - infection control (42)
<u>F441</u> - infection control (4287)	<u>F441</u> - infection control (150)	<u>F314</u> - prevention of pressure ulcers (31)	<u>F314</u> - prevention of pressure ulcers (49)	<u>F315</u> - services to restore normal bladder function and prevent infection (30)	<u>F441</u> - infection control (14)	<u>F323</u> - supervision to prevent accidents (40)
<u>F309</u> - care promotes highest level of well-being (3768)	<u>F314</u> - prevention of pressure ulcers (142)	<u>F441</u> - infection control (30)	<u>F225</u> - investigate allegations of abuse (46)	<u>F371</u> - store, prepare, and serve food under sanitary conditions (29)	<u>F314</u> - prevention of pressure ulcers (11)	<u>F309</u> - care promotes highest level of well-being (34)
<u>F371</u> - store, prepare, and serve food under sanitary conditions (3731)	<u>F371</u> - store, prepare, and serve food under sanitary conditions (142)	<u>F225</u> - investigate allegations of abuse (29)	<u>F309</u> - care promotes highest level of well-being (43)	<u>F225</u> - investigate allegations of abuse (28)	<u>F315</u> - services to restore normal bladder function and prevent infection (11)	<u>F371</u> - store, prepare, and serve food under sanitary conditions (33)
<u>F279</u> - develop comprehensive care plan (2403)	<u>F225</u> - investigate allegations of abuse (131)	<u>F371</u> - store, prepare, and serve food under sanitary conditions (26)	<u>F371</u> - STORE, PREPARE, AND SERVE FOOD UNDER SANITARY CONDITIONS (32)	<u>F425</u> - medication system assures accurate receipt and administration (28)	<u>F309</u> - care promotes highest level of well-being (9)	<u>F225</u> - investigate allegations of abuse (24)
<u>F329</u> - drug regimen is free of unnecessary drugs (2323)	<u>F309</u> - care promotes highest level of well-being (119)	<u>F309</u> - care promotes highest level of well-being (23)	<u>F514</u> - documentation (31)	<u>F314</u> - prevention of pressure ulcers (27)	<u>F323</u> - supervision to prevent accidents (9)	<u>F314</u> - prevention of pressure ulcers (24)
<u>F431</u> - labeling of drugs and biological (2279)	<u>F425</u> - medication system assures accurate receipt and administration (95)	<u>F329</u> - drug regimen is free of unnecessary drugs (21)	<u>F441</u> - infection control (30)	<u>F323</u> - supervision to prevent accidents (27)	<u>F332</u> – MEDICATION ERROR RATE < 5% (9)	<u>F280</u> - periodically review and revise the plan of care (23)
<u>F514</u> - documentation (2215)	<u>F315</u> - services to restore normal bladder function and prevent infection (92)	<u>F282</u> - care in accordance with care plan (20)	<u>F315</u> - SERVICES TO RESTORE NORMAL BLADDER FUNCTION AND PREVENT INFECTION (28)	<u>F226</u> – develop and implement policies and procedures prohibiting abuse (23)	<u>F425</u> - medication system assures accurate receipt and administration (9)	<u>F279</u> - develop comprehensive care plan (21)
<u>F241</u> – resident dignity (1918)	<u>F329</u> - drug regimen is free of unnecessary drugs (77)	<u>F425</u> - medication system assures accurate receipt and administration (19)	<u>F425</u> - medication system assures accurate receipt and administration (28)	<u>F334</u> – OFFER INFLUENZA AND PNEUMOCOCCAL IMMUNIZATIONS (17)	<u>F356</u> - POSTING NURSE STAFFING INFORMATION (6)	<u>F329</u> - drug regimen is free of unnecessary drugs (20)
<u>F225</u> - INVESTIGATE ALLEGATIONS OF ABUSE (1793)	<u>F226</u> – DEVELOP AND IMPLEMENT POLICIES AND PROCEDURES PROHIBITING ABUSE (66)	<u>F157</u> – Prompt MD consultation (17)	<u>F164</u> – PERSONAL PRIVACY AND CONFIDENTIALITY OF CLINICAL RECORDS (25)	<u>F285</u> - PASARR REQUIREMENTS FOR MI AND DD(16)	<u>F329</u> - drug regimen is free of unnecessary drugs (5)	<u>F157</u> – PROMPT MD CONSULTATION (17) <u>F315</u> - SERVICES TO RESTORE NORMAL BLADDER FUNCTION AND PREVENT INFECTION (17)

ITALICS – not in State's Top Ten BLUE/SMALL CAPS - new to Top Ten compared to entity's 2015 data





**Southeastern Regional Office (SERO)
Top Ten Federal Health Citations
1/1 – 9/30/2016**

Rank	SERO Rank 2015	Tag	Description of Regulation	Number of Citations	Number of Cites at Harm or IJ
1	1	F323	Facility is free of hazardous environment/supervision and assistive devices to prevent accidents	61	15
2	4	F314	Services and treatment to prevent and/or to heal pressure ulcers	49	8
3	2	F225	Reporting and investigating allegations of abuse, mistreatment, neglect and misappropriation	46	1
4	3	F309	Care and services to attain/maintain highest practicable level of well-being	43	5
5		F371	Store, prepare, and serve food under sanitary conditions	32	0
6	7	F514	Documentation meets professional standards	31	0
7	5	F441	Infection control program designed to prevent the development and spread of infection	30	1
8 (tied)		F315	Services to restore normal bladder function and prevent infection	28	2
8 (tied)	7 (tied)	F425	Pharmaceutical services ensure accurate acquiring and dispensing of medications	28	1
10		F164	Personal privacy and confidentiality of clinical records	25	0





Western Regional Office (WRO) Top Ten Federal Health Citations 1/1 – 9/30/2016

Rank	WRO Rank 2015	Tag	Description of Regulation	Number of Citations	Number of Cites at Harm or IJ
1	2	F441	Infection control program designed to prevent the development and control the spread of infection	42	0
2	3	F323	Facility is free of hazardous environment/supervision and assistive devices to prevent accidents	40	9
3	6	F309	Care and services to attain/maintain highest practicable level of well-being	34	12
4	4	F371	Food stored, prepared, distributed, and served in a manner that prevents foodborne illness	33	0
5 (tied)	7 (tied)	F225	Report and investigate allegations of abuse, mistreatment, neglect and misappropriation	24	0
5 (tied)	9	F314	Services and treatment to prevent and/or to heal pressure ulcers	24	8
7	1	F280	Periodically review and revise the plan of care	23	0
8	10	F279	Develop comprehensive plan of care	21	0
9	7 (tied)	F329	Each resident's drug regimen is free of unnecessary drugs	20	0
10 (tied)		F157	Consult promptly with physician following significant condition change	17	4
10 (tied)		F315	Care and services to restore as much normal bladder function as possible	17	0





Northeastern Regional Office (NERO)
Top Ten Federal Health Citations
1/1 – 9/30/2016

Rank	NERO Rank 2015	Tag	Description of Regulation	Number of Citations	Number of Cites at Harm or IJ
1	2	F441	Infection control program designed to prevent the development and control the spread of infection	34	1
2	4	F315	Care and services to restore as much normal bladder function as possible	30	0
3	5	F371	Food stored, prepared, distributed, and served in a manner that prevents foodborne illness	29	0
4 (tied)	3	F225	Report and investigate allegations of abuse, mistreatment, neglect and misappropriation	28	0
4 (tied)	6	F425	Pharmaceutical services ensure accurate acquiring and dispensing of medications	28	1
6 (tied)	1	F314	Services and treatment to prevent and/or to heal pressure ulcers	27	1
6 (tied)	7	F323	Facility is free of hazardous environment/supervision and assistive devices to prevent accidents	27	4
8	9	F226	Develop and implement policies prohibiting abuse	23	0
9		F334	Offer influenza and pneumococcal immunizations	17	1
10		F285	PASSAR requirements for MI and DD	16	0



Northern Regional Office (NRO) Top Ten Federal Health Citations 1/1 – 9/30/2016

Rank	NRO Rank 2015	Tag	Description of Regulation	Number of Citations	Number of Cites at Harm or IJ
1	1 (tied)	F371	Food stored, prepared, distributed, and served in a manner that prevents foodborne illness	22	2
2	1 (tied)	F441	Infection control program designed to prevent the development and control the spread of infection	14	0
3 (tied)	6	F314	Services and treatment to prevent and/or to heal pressure ulcers	11	1
3 (tied)	3 (tied)	F315	Care and services to restore as much normal bladder function as possible	11	1
5 (tied)	3 (tied)	F309	Care and services to attain/maintain highest practicable level of well-being	9	4
5 (tied)	7	F323	Facility is free of hazardous environment/supervision and assistive devices to prevent accidents	9	2
5 (tied)		F332	Free of medication error rates of 5% or higher	9	0
5 (tied)	8 (tied)	F425	Pharmaceutical services ensure accurate acquiring and dispensing of medications	9	0
9		F356	Posting nurse staffing information	6	0
9	5	F329	Each resident's drug regimen is free of unnecessary drugs	5	0



**Southern Regional Office (SRO)
Top Ten Federal Health Citations
1/1 – 9/30/2016**

Rank	SRO Rank 2015	Tag	Description of Regulation	Number of Citations	Number of Cites at Harm or IJ
1	1	F323	Facility is free of hazardous environment/supervision and assistive devices to prevent accidents	34	9
2	7	F314	Services and treatment to prevent and/or to heal pressure ulcers	31	8
3	3	F441	Infection control program designed to prevent the development and control the spread of infection	30	0
4	2	F225	Report and investigate allegations of abuse, mistreatment, neglect and misappropriation	29	3
5	8	F371	Food stored, prepared, distributed, and served in a manner that prevents foodborne illness	26	0
6	9 (tied)	F309	Care and services to attain/maintain highest practicable level of well-being	23	7
7	4 (tied)	F329	Each resident's drug regimen is free of unnecessary drugs	21	0
8	9 (tied)	F282	Services provided by qualified personnel in accordance with the plan of care	20	0
9	4 (tied)	F425	Pharmaceutical services ensure accurate acquiring and dispensing of medications	19	0
10	4 (tied)	F157	Consult promptly with physician following significant condition change	17	2



Immediate Jeopardy Citations 2000 - YTD 2016

