

Total Deficiencies Cited by Region V States and Nation by Severity Level
on Standard and Complaint Surveys
FY 2012

State	Standard Surveys		Complaint Surveys	
	Number Tags Cited	% Distribution	Number Tags Cited	% Distribution
Illinois				
Total deficiencies cited	4665	100.0%	1195	100.0%
Level 4	38	0.8%	54	4.5%
Level 3	184	3.9%	152	12.7%
Level 2	3912	83.9%	946	79.2%
Level 1	531	11.4%	43	3.6%
Indiana				
Total deficiencies cited	2843	100.0%	1488	100.0%
Level 4	12	0.4%	24	1.6%
Level 3	71	2.5%	147	9.9%
Level 2	2519	88.6%	1280	86.0%
Level 1	241	8.5%	37	2.5%
Michigan				
Total deficiencies cited	3397	100.0%	1065	100.0%
Level 4	18	0.5%	87	8.2%
Level 3	92	2.7%	212	19.9%
Level 2	3065	90.2%	762	71.5%
Level 1	222	6.5%	4	0.4%
Minnesota				
Total deficiencies cited	2623	100.0%	138	100.0%
Level 4	12	0.5%	3	2.2%
Level 3	45	1.7%	20	14.5%
Level 2	2401	91.5%	115	83.3%
Level 1	165	6.3%	0	0.0%

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	Number Tags Cited	% Distribution	Number Tags Cited	% Distribution
Ohio				
Total deficiencies cited	3321	100.0%	1301	100.0%
Level 4	1	0.0%	21	1.6%
Level 3	65	2.0%	55	4.2%
Level 2	3036	91.4%	1168	89.8%
Level 1	219	6.6%	57	4.4%
Wisconsin				
Total deficiencies cited	2306	100.0%	809	100.0%
Level 4	34	1.5%	36	4.4%
Level 3	83	3.6%	56	6.9%
Level 2	2035	88.2%	701	86.7%
Level 1	154	6.7%	16	2.0%
U. S.				
Total deficiencies cited	85918	100.0%	25740	100.0%
Level 4	755	0.9%	1223	4.8%
Level 3	1943	2.3%	2258	8.8%
Level 2	77633	90.4%	21257	82.6%
Level 1	5587	6.5%	1002	3.9%

Notes: Level 1 deficiencies only include tags cited at Levels B and C
Source: PDQ, November 2012

Top 10 Citations Cited by Region V States
on Standard and Complaint Surveys
FY 2012

State	Standard Surveys		Complaint Surveys	
	Tag #	% Surveys Cited	Tag #	% Surveys Cited

Illinois # Standard Surveys = 836 # Complaint Surveys = 2,033	F0441	46.8%	F0323	10.5%
	F0323	42.0%	F0309	4.1%
	F0309	26.7%	F0225	3.9%
	F0371	26.4%	F0157	3.5%
	F0314	20.8%	F0314	3.5%
	F0226	17.7%	F0226	2.6%
	F0315	17.6%	F0333	1.9%
	F0225	15.7%	F0441	1.8%
	F0221	14.6%	F0312	1.5%
	F0280	13.4%	F0282	1.4%
			F0246	1.4%

Indiana # Standard Surveys = 474 # Complaint Surveys = 1,436	F0441	38.0%	F0323	9.7%
	F0323	35.4%	F0282	8.8%
	F0282	34.8%	F0309	7.5%
	F0371	28.7%	F0157	6.7%
	F0309	27.4%	F0514	6.3%
	F0329	23.8%	F0226	5.3%
	F0279	23.0%	F0225	4.6%
	F0514	19.8%	F0441	4.4%
	F0226	18.1%	F0279	3.8%
	F0157	17.1%	F0314	3.6%

Michigan # Standard Surveys = 464 # Complaint Surveys = 1,833	F0441	52.4%	F0323	15.5%
	F0323	49.1%	F0225	5.3%
	F0371	42.5%	F0223	4.0%
	F0309	33.4%	F0309	3.8%
	F0329	30.8%	F0241	3.3%
	F0431	27.8%	F0281	3.0%
	F0314	27.8%	F0226	2.3%
	F0279	23.3%	F0224	1.8%
	F0226	22.2%	F0157	1.5%
	F0225	19.6%	F0279	1.4%
F0465	19.6%	F0333	1.4%	

Top 10 Citations Cited by Region V States
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State	Standard Surveys		Complaint Surveys	
	Tag #	% Surveys Cited	Tag #	% Surveys Cited
Minnesota # Standard Surveys = 377 # Complaint Surveys = 303	F0329	36.6%	F0226	6.3%
	F0282	35.5%	F0225	5.9%
	F0226	33.2%	F0323	4.6%
	F0441	31.6%	F0157	4.0%
	F0323	31.0%	F0309	3.6%
	F0371	29.7%	F0282	2.6%
	F0279	28.9%	F0241	1.7%
	F0225	28.4%	F0441	1.7%
	F0272	27.9%	F0514	1.7%
	F0309	27.9%	F0314	1.7%
Ohio # Standard Surveys = 684 # Complaint Surveys = 1,624	F0441	30.3%	F0323	6.2%
	F0329	29.7%	F0309	6.0%
	F0279	26.0%	F0157	5.4%
	F0371	24.1%	F0225	4.2%
	F0323	21.6%	F0514	4.2%
	F0309	19.6%	F0441	3.4%
	F0272	18.6%	F0226	3.1%
	F0431	16.4%	F0314	2.3%
	F0241	13.3%	F0279	2.2%
F0280	12.4%	F0329	2.2%	
Wisconsin # Standard Surveys = 370 # Complaint Surveys = 818	F0441	57.8%	F0323	9.9%
	F0371	39.5%	F0225	9.9%
	F0323	38.9%	F0514	6.4%
	F0282	37.8%	F0309	5.9%
	F0314	35.1%	F0157	5.3%
	F0329	29.7%	F0441	5.0%
	F0279	27.8%	F0279	4.8%
	F0315	21.9%	F0425	3.9%
	F0225	20.5%	F0282	3.8%
F0309	18.9%	F0314	3.4%	

Source: CASPER: Citation Frequency Report, November 26, 2012

Top 10 Citations Cited by Nation
on Standard and Complaint Surveys
FY 2012

Nation	Standard Surveys		Complaint Surveys	
	Tag #	% Surveys Cited	Tag #	% Surveys Cited
U. S. # Standard Surveys = 14,508 # Complaint Surveys = 40,748	F0441	39.4%	F0323	6.5%
	F0371	33.9%	F0309	4.6%
	F0323	31.4%	F0225	3.3%
	F0309	25.5%	F0157	2.8%
	F0279	21.7%	F0514	2.6%
	F0329	18.9%	F0226	2.4%
	F0431	18.6%	F0281	2.4%
	F0241	15.8%	F0441	2.3%
	F0514	15.4%	F0279	1.9%
	F0281	15.1%	F0282	1.8%

Source: CASPER: Citation Frequency Report, November 26, 2012

List of Top Citations on Top 10 Report for Region V and Nation
on Standard and Complaint Surveys
FY 2012

Tag #	Description
F0157	INFORM OF ACCIDENTS/SIG CHANGES/TRANSFER/ETC
F0221	RIGHT TO BE FREE FROM PHYSICAL RESTRAINTS
F0223	RESIDENTS RIGHT TO BE FREE FROM ABUSE
F0224	PROHIBIT MISTREATMENT/NEGLECT/MISAPPROPRIATION
F0225	NOT EMPLOY PERSONS GUILTY OF ABUSE
F0226	POLICIES, PROCEDURES PROHIBIT ABUSE, NEGLECT
F0241	DIGNITY
F0246	REASONABLE ACCOMMODATION OF NEEDS/PREFERENCES
F0272	COMPREHENSIVE ASSESSMENTS
F0279	DEVELOP COMPREHENSIVE CARE PLANS
F0280	RIGHT TO PARTICIPATE PLANNING CARE - REVISE CP
F0281	SERVICES PROVIDED MEET PROFESSIONAL STANDARDS
F0282	SERVS BY QUALIFIED PERSONS IN ACCORD W/ CARE PLAN
F0309	PROVIDE NECESS CARE FOR HIGHEST PRAC WELL BEING
F0312	ADL CARE PROVIDED FOR DEPENDENT RESIDENTS
F0314	PROPER TREATMENT TO PREVENT/HEAL PRESSURE SORES
F0315	RES NOT CATHETERIZED UNLESS UNAVOIDABLE
F0323	FACILITY IS FREE OF ACCIDENT HAZARDS
F0329	DRUG REGIMEN IS FREE FROM UNNECESSARY DRUGS
F0333	RESIDENTS FREE FROM SIGNIFICANT MED ERRORS
F0371	STORE/PREPARE/DISTRIB FOOD UNDER SANITARY CONDS
F0425	FACILITY PROVIDES DRUGS & BIOLOGICALS
F0431	PROPER LABELING OF DRUGS & BIOLOGICALS
F0441	FACILITY ESTABLISHES INFECTION CONTROL PROG
F0465	SAFE/FUNCTIONAL/SANITARY/COMFORTABLE ENVIRONMENT
F0514	CLINICAL RECORDS MEET PROFESSIONAL STANDARDS

Note: Deficiencies that are tied for % Surveys Cited that are in the Top 10 are all included
Source: PDQ, November 2012

Average Number of Deficiencies Cited Per Survey by Region V States
and Nation on Standard and Complaint Surveys
FY 2012

State	Average Number of Deficiencies Cited Per Survey	
	Standard Surveys	Complaint Surveys
Illinois	5.6	0.6
Indiana	6.0	1.0
Michigan	7.3	0.6
Minnesota	6.9	0.5
Ohio	4.9	0.8
Wisconsin	6.2	1.0
U.S.	5.9	0.6

Source:

Standard Surveys: 2012 Annual Report, PDQ, November 22, 2012

Complaint Surveys: 2012 Annual Report, PDQ, November 13, 2012

Remedies Imposed by Region V States
FY 2012

Per Day CMPs	Total Amount Imposed	Number of Cases with an Imposed Per Day CMP
Illinois	\$2,173,135	201
Indiana	\$1,120,050	112
Michigan	\$7,756,430	389
Minnesota	\$34,250	2
Ohio	\$2,768,700	83
Wisconsin	\$1,067,750	62

Per Instance CMPs	Total Amount Imposed	Number of Cases with an Imposed Per Instance CMP
Illinois	\$76,500	19
Indiana	\$60,050	18
Michigan	\$57,000	24
Minnesota	\$153,000	54
Ohio	\$347,800	97
Wisconsin	\$174,050	42

Denial of Payment for New Admissions (DOPNA)	Number in Effect
Illinois	27
Indiana	10
Michigan	44
Minnesota	8
Ohio	20
Wisconsin	15

Source: 2012 Annual Report, ASPEN Enforcement Manager Reports, November 2012

Citation Frequency Report

Selection Criteria

Display Options: Display top 25 tags

Provider and Supplier Type(s): Dually Certified SNF/NFs - Medicare and Medicaid, Distinct Part SNF/NFs - Medicare and Medicaid, Skilled Nursing Facilities (SNFs) - Medicare Only, Nursing Facilities - Medicaid Only

State: Wisconsin

Survey Type(s): Standard

Survey Focus: Life Safety

Year Type: Fiscal Year

Year: 2012

Quarter: Full Year

Tag #	Tag Description	# Citations	% Providers Cited	% Surveys Cited
Totals represent the # of providers and surveys that meet the selection criteria specified above.			Wisconsin Active Providers = 394	Total Number of Surveys = 370
K0062	SPRINKLER SYSTEM MAINTENANCE	190	43.9%	51.4%
K0029	HAZARDOUS AREAS - SEPARATION	143	34.8%	38.6%
K0056	AUTOMATIC SPRINKLER SYSTEM	136	32.7%	36.8%
K0038	EXIT ACCESS	98	24.1%	26.5%
K0147	ELECTRICAL WIRING AND EQUIPMENT	91	22.3%	24.6%
K0018	CORRIDOR DOORS	88	21.3%	23.8%
K0051	FIRE ALARM SYSTEM	77	18.0%	20.8%
K0025	SMOKE PARTITION CONSTRUCTION	75	18.0%	20.3%
K0050	FIRE DRILLS	74	15.5%	20.0%
K0052	TESTING OF FIRE ALARM	62	13.2%	16.8%
K0144	GENERATORS INSPECTED/TESTED	51	12.7%	13.8%
K0027	DOORS IN SMOKE PARTITIONS	45	11.2%	12.2%
K0012	CONSTRUCTION TYPE	44	10.9%	11.9%
K0046	EMERGENCY LIGHTING	39	9.6%	10.5%
K0017	CORRIDOR WALLS	38	9.4%	10.3%
K0064	PORTABLE FIRE EXTINGUISHERS	37	9.1%	10.0%
K0022	ENCLOSURE DOORS	36	8.9%	9.7%
K0033	EXIT PARTITIONS	35	8.9%	9.5%
K0011	COMMON WALL	33	8.1%	8.9%
K0069	COOKING EQUIPMENT	27	6.6%	7.3%
K0020	STAIRWAY ENCLOSURES AND VERTICAL SHAFTS	23	5.8%	6.2%
K0154	AUTOMATIC SPRINKLER SYSTEM	21	4.6%	5.7%

