

NURSING HOME PROVIDER FORUM



Northeastern Regional Office

August 1, 2012

**Wisconsin Top Ten Federal Health Citations Identifying Actual Harm or Immediate Jeopardy
2011**

Rank	Rank 2010	Tag	Description of Regulation	# Cites at Harm or IJ
1	1	F323	Facility is free of hazardous environment/Supervision and assistive devices to prevent accidents	51
2	2	F309	Services to attain/maintain highest practicable level of well being	31
3	3	F314	Treatment to prevent and heal pressure ulcers	25
4		F281	Services provided in accordance with professional standards of practice	11
5T	6	F315	Treatment and services to retain/restore as much bladder function as possible	10
5T	4	F157	Immediately consult with physician following a significant change in condition	10
7T	7	F325	Maintain acceptable parameters of nutrition	8
7T	10	F441	Infection control program investigates, controls, and prevents spread of infection	8
9	5	F327	Provide sufficient fluids to maintain hydration	5
10T	9	F225	Reporting and investigation of allegations of abuse, mistreatment, neglect and mistreatment	3
10T	8	F223	Right to remain free of physical, verbal, mental and sexual abuse	3

Northeastern Regional Office
Top Ten Federal Health Citations
2011

Rank	NERO Rank 2010	Tag	Description of Regulation	# Citations	# Cites at Harm or IJ
1	1	F441	Infection control program designed to prevent the development and control the spread of infection	80	4
2	3	F323	Facility is free of hazardous environment/Supervision and assistive devices to prevent accidents	77	14
3	2	F282	Services provided in accordance with resident's care plan	74	0
4	8	F314	Services and treatment to prevent and/or to heal pressure ulcers Documentation is complete and accurate	53	2
5	4	F371	Food stored, prepared, distributed, and served in a manner that prevents food borne illness	50	0
6	9	F279	Develop comprehensive care plan	42	0
7		F156	Information to be posted or provided at admission or when resident becomes eligible for Medicaid	40	0
8		F329	Drug regimen is free of unnecessary drugs	39	2
9	5	F225	Reporting and investigation of allegations of abuse, mistreatment, neglect and mistreatment	37	0
10		F425	Provide pharmaceutical services that assure accurate acquiring and administering of medications	34	0

**Northeastern Regional Office
Top Ten Federal Health Citations
January 1, 2012 – June 21, 2012**

Rank	NERO Rank 2011	Tag	Description of Regulation	# Citations	# Cites at Harm or IJ
1	1	F441	Infection control program designed to prevent the development and control the spread of infection	41	0
2	3	F282	Services provided in accordance with resident's care plan	38	0
3	2	F323	Facility is free of hazardous environment/Supervision and assistive devices to prevent accidents	33	7
4	4	F314	Services and treatment to prevent and/or to heal pressure ulcers Documentation is complete and accurate	24	3
5	5	F371	Food stored, prepared, distributed, and served in a manner that prevents food borne illness	22	0
6	8	F329	Drug regimen is free of unnecessary drugs	42	0
7	10	F425	Provide pharmaceutical services that assure accurate acquiring and administering of medications	19	1
8	9	F225	Reporting and investigation of allegations of abuse, mistreatment, neglect and mistreatment	18	0
9		F315	Prevent UTIs; services to restore/maintain bladder function to extent possible	18	0
10		F312	For those needing assistance, services to maintain good nutrition, grooming, and hygiene	17	0

TOP TEN FEDERAL HEALTH CITATIONS – NATION, STATE, REGIONAL OFFICE – 2011

National	State	Southern (Madison)	Southeastern (Milwaukee)	Northeastern (De Pere)	Northern (Rhineland)	Western (Eau Claire)
<u>F323</u> – supervision to prevent accidents (6773)	<u>F323</u> – supervision to prevent accidents (230)	<u>F282</u> – care in accordance with care plan (48)	<u>F323</u> – supervision to prevent accidents (45)	<u>F441</u> – infection control (80)	<u>F441</u> – infection control (27)	<u>F441</u> – infection control (48)
<u>F441</u> – infection control (6228)	<u>F441</u> – infection control (230)	<u>F371</u> – food prepared/served under sanitary conditions (48)	<u>F225</u> – investigate allegations of abuse (44)	<u>F323</u> – supervision to prevent accidents (77)	<u>F323</u> – supervision to prevent accidents (26)	<u>F323</u> – supervision to prevent accidents (38)
<u>F309</u> - care promotes highest level of functioning and well being (5251)	<u>F282</u> – care in accordance with care plan (151)	<u>F323</u> – supervision to prevent accidents (44)	<u>F226</u> – develop and implement policies prohibiting abuse (40)	<u>F282</u> – care in accordance with care plan (74)	<u>F225</u> - investigate allegations of abuse (18)	<u>F371</u> – store, prepare, distribute food under sanitary conditions (25)
<u>F371</u> – food prepared/served under sanitary conditions (5045)	<u>F225</u> - investigate allegations of abuse (148)	<u>F441</u> – infection control (41)	<u>F441</u> – infection control (34)	<u>F314</u> – prevention of pressure (53)	<u>F309</u> – care promotes highest level of well-being (16)	<i>F514 - documentation (22)</i>
<u>F279</u> – develop comprehensive care plan (3751)	<u>F371</u> – food prepared/served under sanitary conditions (140)	<u>F279</u> – develop comprehensive care plan (35)	<u>F279</u> – develop comprehensive care plan (29)	<u>F371</u> – store, prepare, distribute food under sanitary conditions (50)	<u>F314</u> – prevention of pressure ulcers (15)	<u>F225</u> - investigate allegations of abuse (20)
<i>F281</i> – professional standards of practice (3262)	<u>F279</u> – develop comprehensive care plan (134)	<i>F514 - documentation (31)</i>	<u>F309</u> – care promotes highest level of well-being (28)	<u>F279</u> – develop comprehensive care plan (42)	<u>F282</u> – care in accordance with care plan (14)	<u>F279</u> – develop comprehensive care plan (20)
<u>F329</u> – Drug regimen is free of unnecessary drugs (3129)	<u>F314</u> – prevention of pressure ulcers (130)	<u>F225</u> - investigate allegations of abuse (29)	<u>F314</u> – prevention of pressure (25)	<i>F156 – notice of rights, rules, services, charges (40)</i>	<u>F226</u> – develop and implement policies prohibiting abuse (12)	<i>F156 – notice of rights, rules, services, charges (18)</i>
<i>F514 - documentation (3085)</i>	<u>F329</u> – Drug regimen is free of unnecessary drugs (102)	<i>F157 – contact MD after significant condition change (27)</i>	<i>F425 – medication system assures accurate receipt/administration (24)</i>	<u>F329</u> – Drug regimen is free of unnecessary drugs (39)	<i>F312 – Services to help carry out activities of daily living (12)</i>	<u>F226</u> – develop and implement policies prohibiting abuse (15)
<u>F225</u> - investigate allegations of abuse (2750)	<u>F309</u> - care promotes highest level of functioning and well being (49)	<u>F329</u> – Drug regimen is free of unnecessary drugs (26)	<i>F156 – notice of rights, rules, services, charges (18)</i>	<u>F225</u> - investigate allegations of abuse (37)	<i>F315 - services to restore bladder function and to prevent UTIs (11)</i>	<u>F314</u> – prevention of pressure ulcers (14)
<i>F241</i> – resident dignity (2736)	<u>F226</u> – develop and implement policies prohibiting abuse (93)	<u>F314</u> – prevention of pressure ulcers (23)	<i>F157 – contact MD after significant condition change (18)</i>	<i>F425 – medication system assures accurate administration (34)</i>	<u>F371</u> – store, prepare, distribute food under sanitary conditions (10)	<u>F309</u> – care promotes highest level of well-being (13)
					<i>F281</i> – professional standards of practice (10)	

BOLD ITALICS – not in State’s top ten New to Top Ten compared to entity’s 2010 data

STATE AND FEDERAL CITING STATISTICS FOR 2011

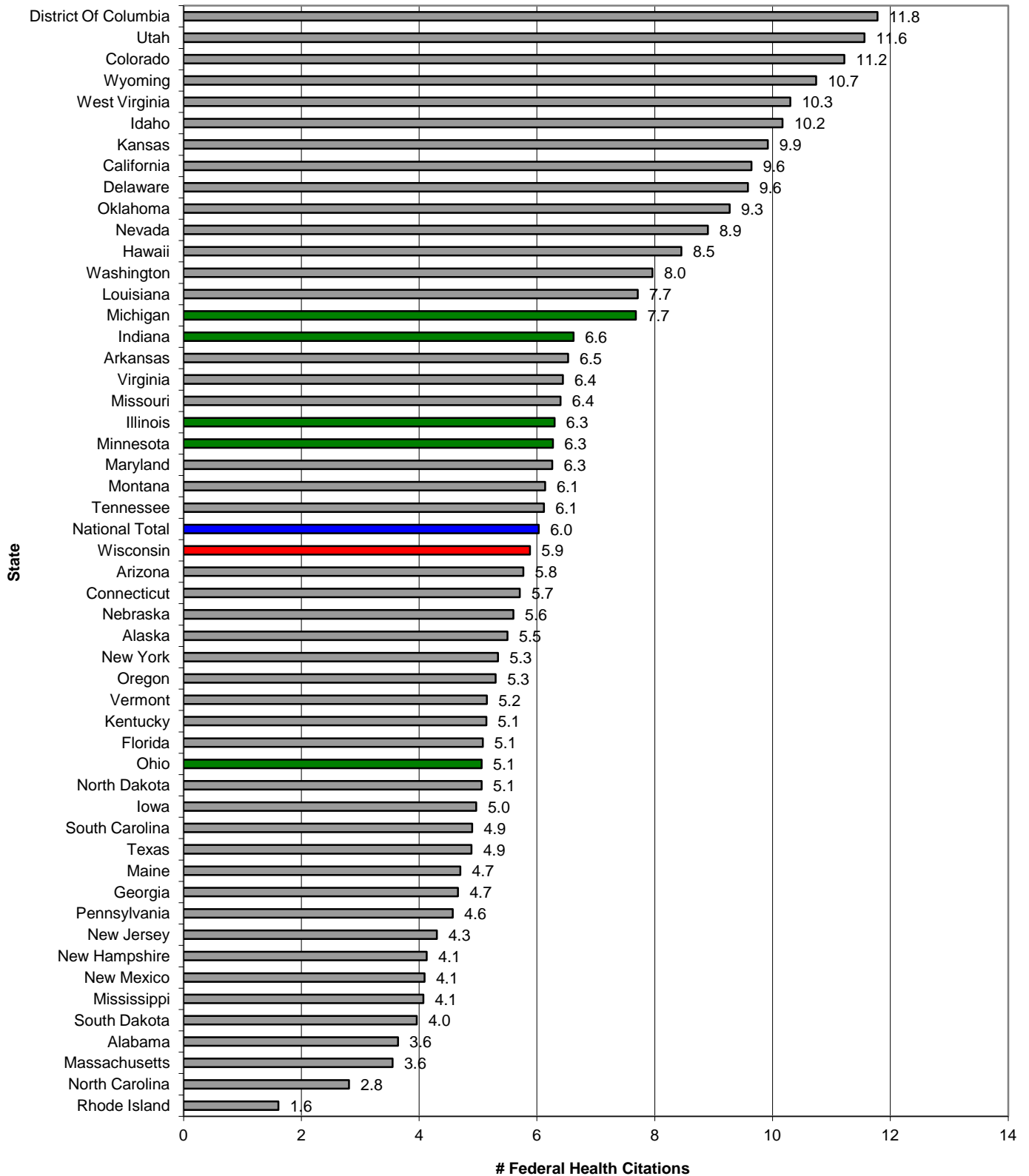


State citing information obtained from ASPEN CENTRAL OFFICE
Federal citing information obtained from CMS S & C PDQ

State of Wisconsin
Department of Health Services
Division of Quality Assurance

Average # Federal Health Citations per Recertification Survey 2011

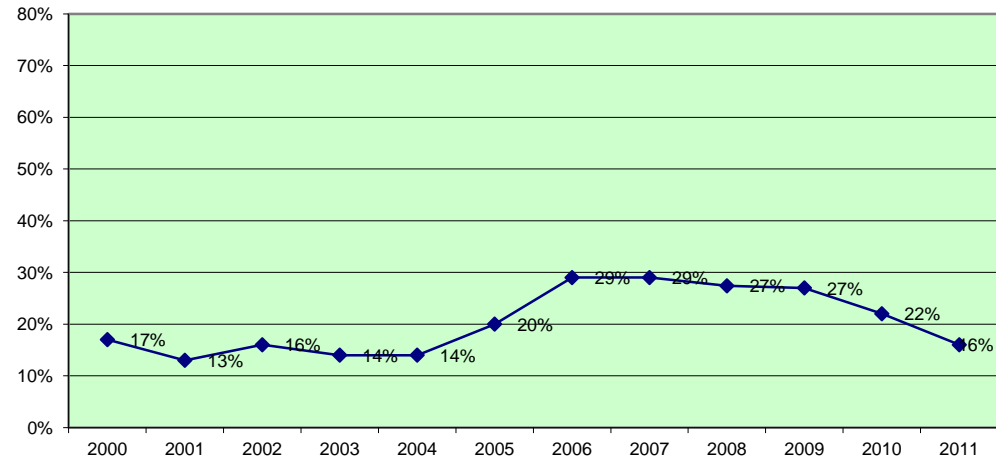
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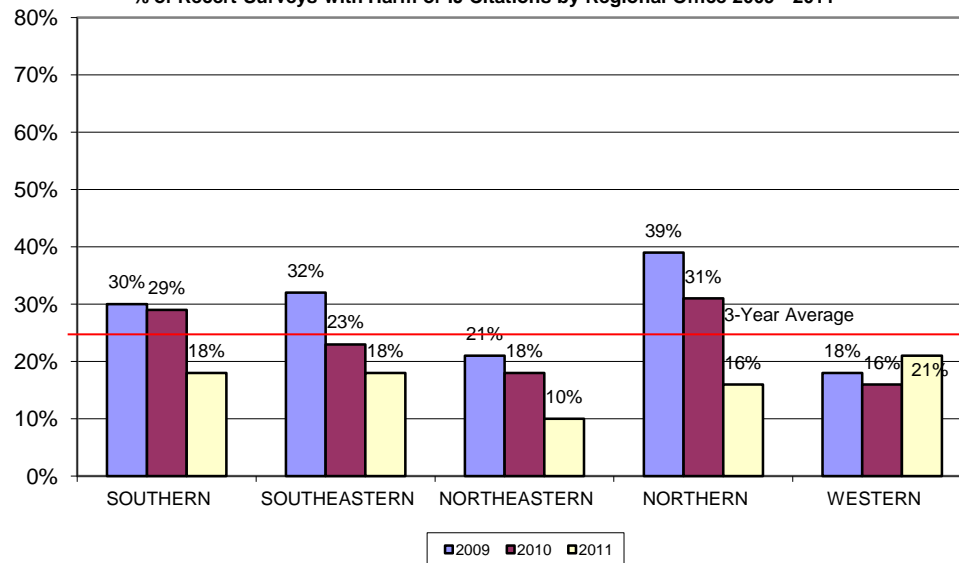
Recertification Surveys

% of Surveys Identifying Actual Harm or Immediate Jeopardy

State Trend 2000 - 2011

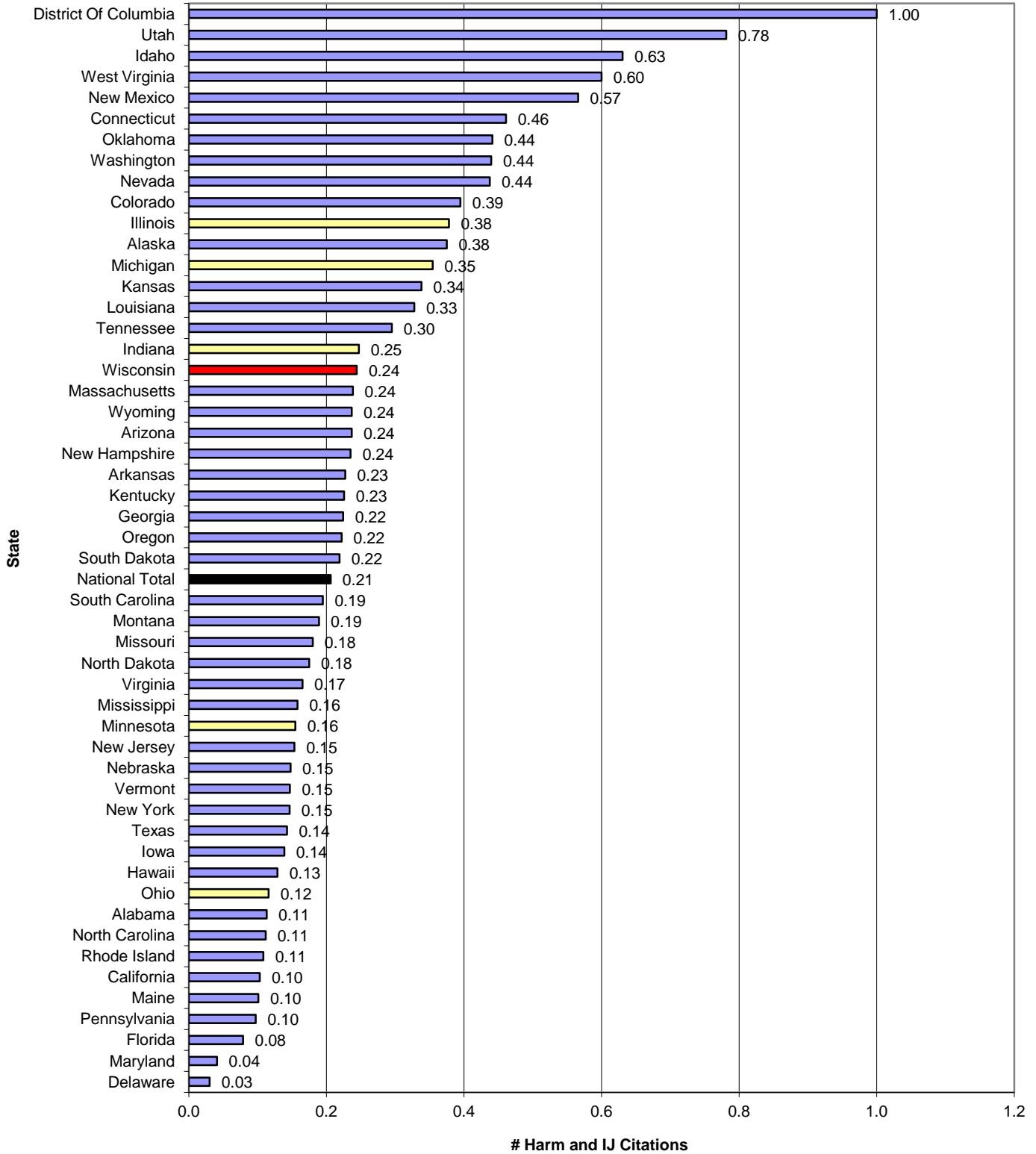


% of Recert Surveys with Harm or IJ Citations by Regional Office 2009 - 2011



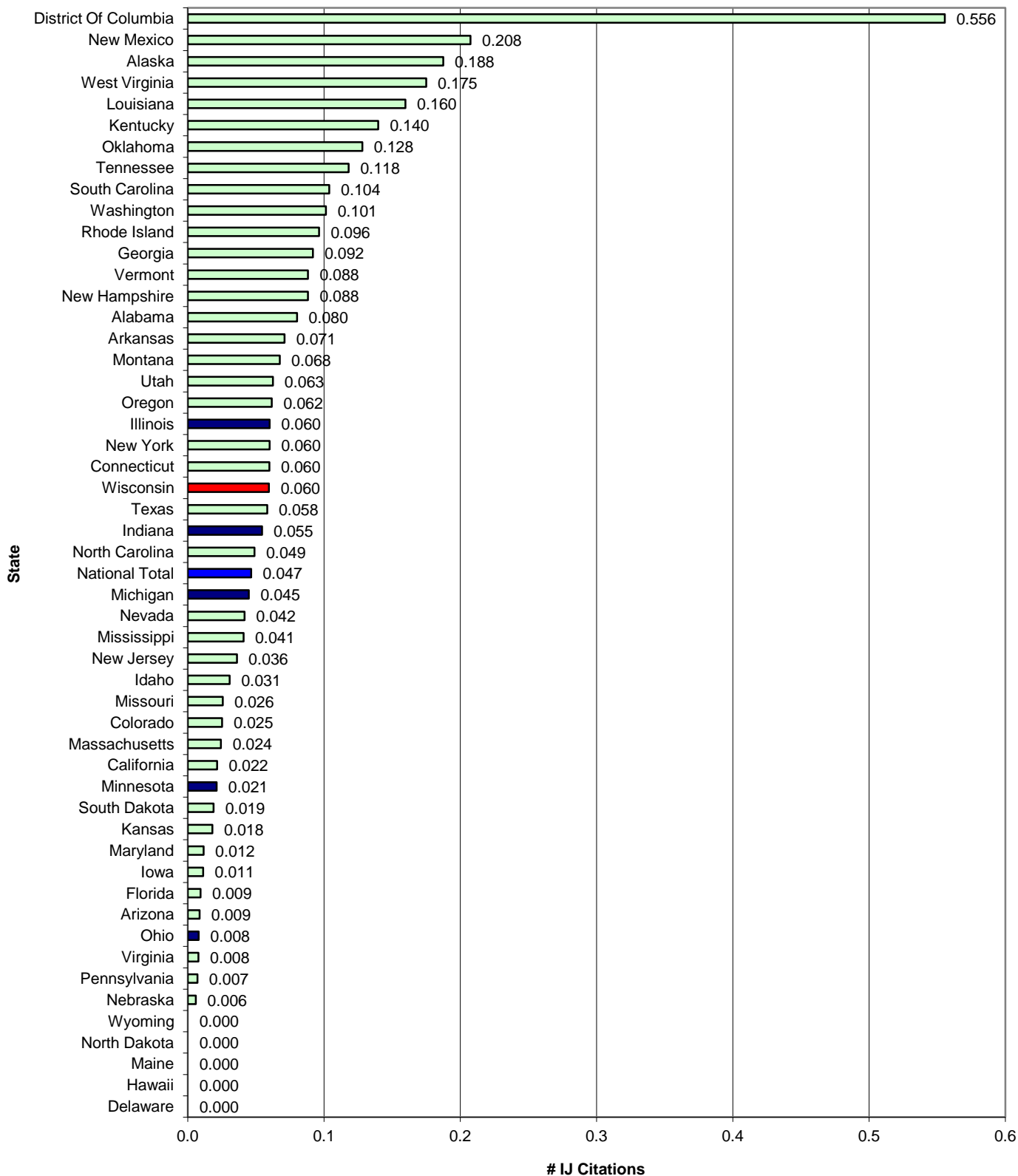
Average # Harm and Immediate Jeopardy Citations per Recertification Survey 2011

S&C PDQ data

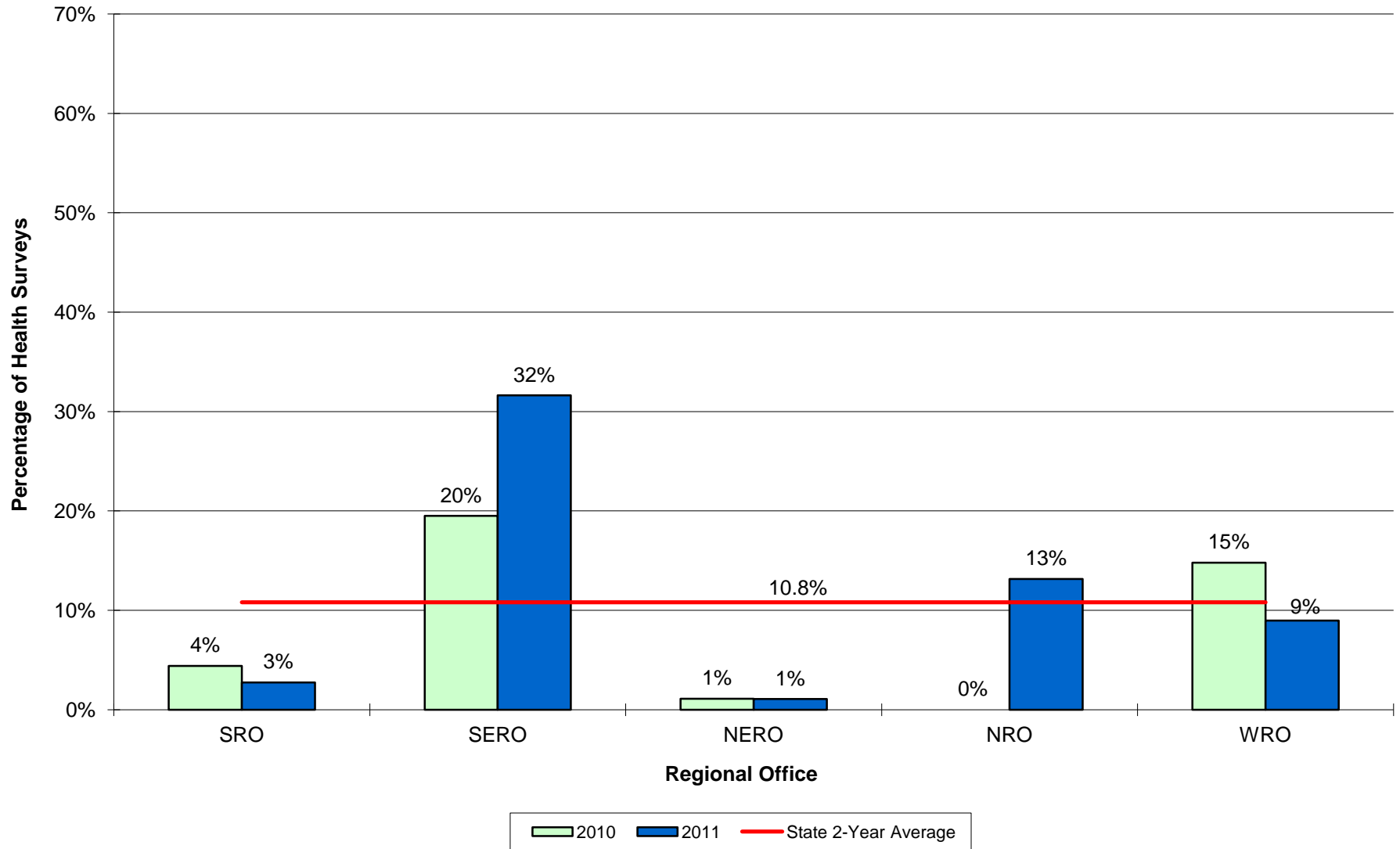


Average # IJ Citations per Recertification Survey 2011

S&C PDQ data



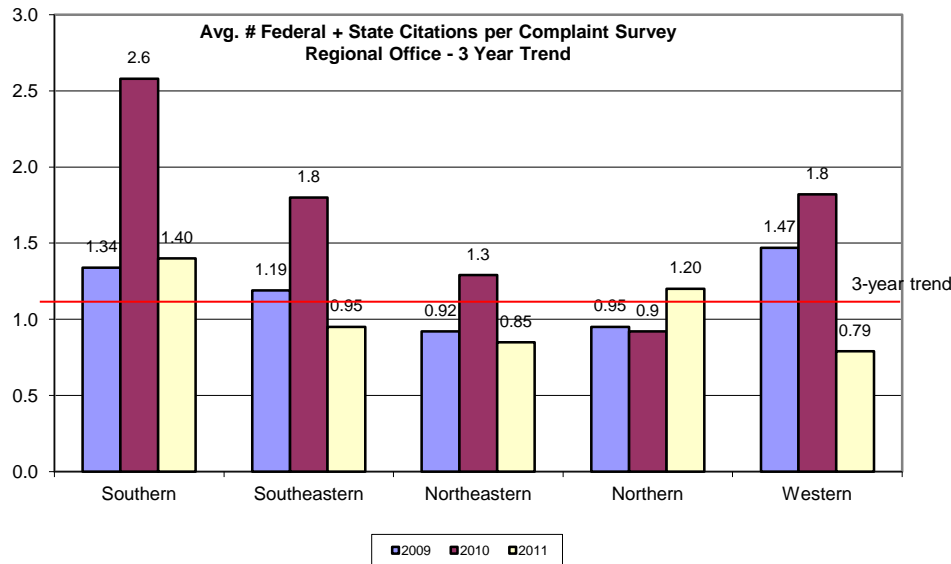
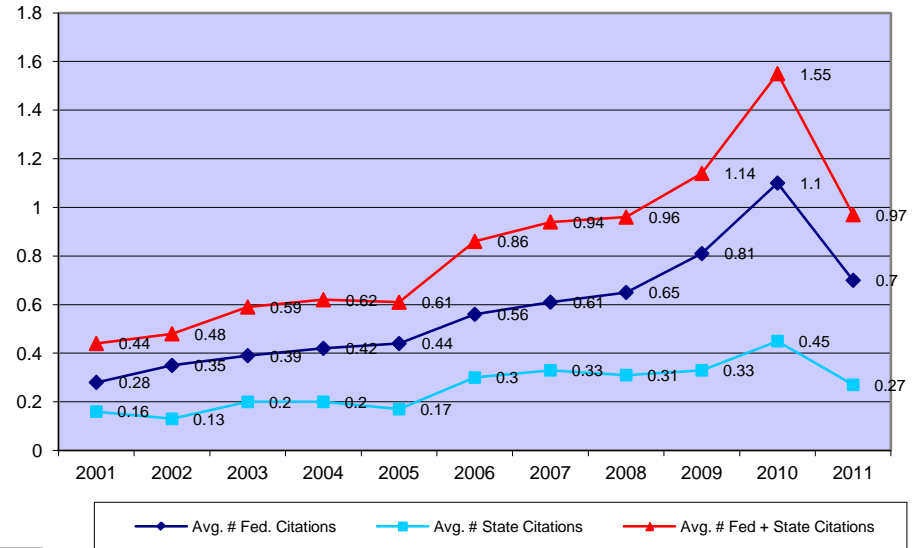
% of Deficiency Free Health Surveys 2010 - 2011



Complaint Surveys

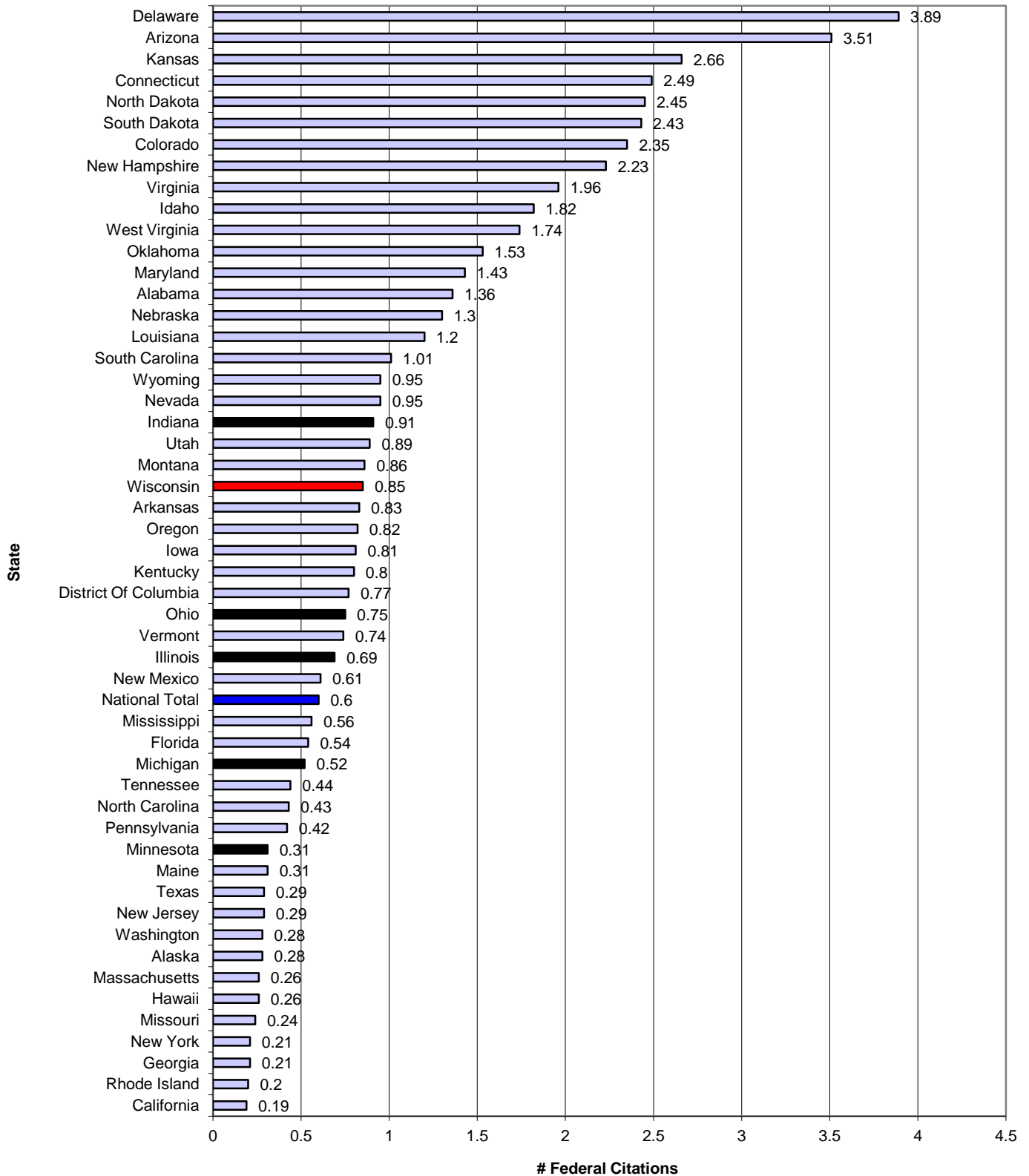
Average # Federal and State Health Citations

State Trend 2001 - 2011



Average # Federal Health Citations per Complaint Survey 2011

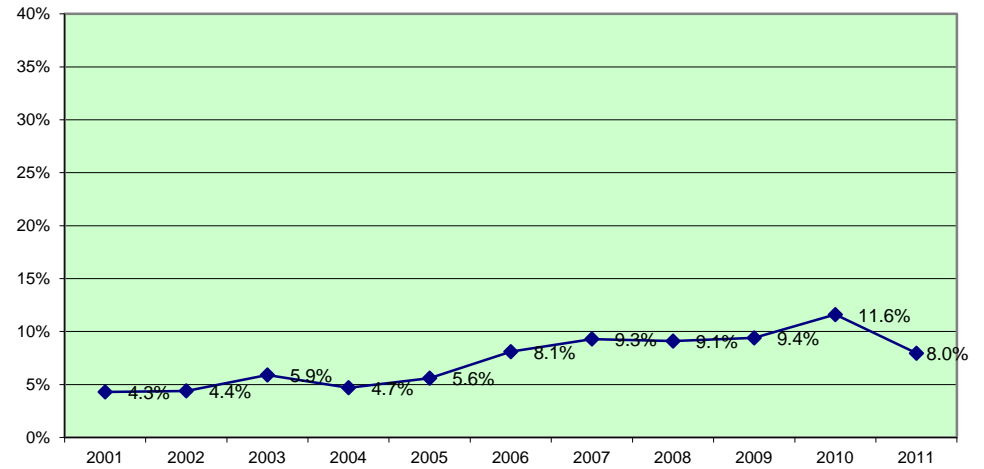
S&C PDQ Data



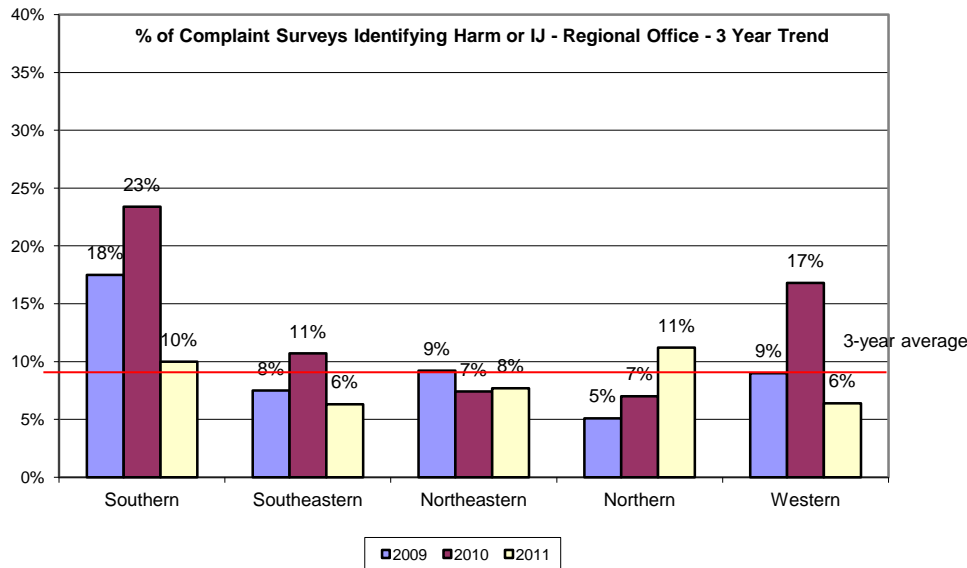
Complaint Surveys

% of Complaint Surveys Identifying Actual Harm or Immediate Jeopardy

State Trend 2001 - 2011



% of Complaint Surveys Identifying Harm or IJ - Regional Office - 3 Year Trend



Average # Harm and IJ Citations per Complaint Survey 2011

S&C PDQ Data

