



## Wisconsin Top Ten Federal Health Citations First Quarter 2016

Rank	State Rank 2015	Tag	Description of Regulation	Number of Citations	Number of Cites at Harm or IJ
1	1	F441	Infection control program designed to prevent the development and control the spread of infection	54	1
2	3	F314	Services and treatment to prevent and/or to heal pressure ulcers	53	8
3	4	F225	Report and investigate allegations of abuse, mistreatment, neglect and misappropriation	51	0
4	2	F323	Facility is free of hazardous environment/supervision and assistive devices to prevent accidents	49	13
5	5	F371	Food stored, prepared, distributed, and served in a manner that prevents foodborne illness	47	1
6	6	F309	Care and services to attain/maintain highest practicable level of well-being	45	12
7	8	F425	Pharmaceutical services ensure accurate acquiring and dispensing of medications	40	2
8	7	F329	Each resident's drug regimen is free of unnecessary drugs	36	0
9	9	F315	Care and services to restore as much normal bladder function as possible	28	1
10		F282	Services provided by qualified personnel in accordance with the plan of care	26	0



**WISCONSIN IMMEDIATE JEOPARDY CITATIONS**  
**1/1/16 – 4/13/16**



<i>Tag</i>	<i>Description of Regulation</i>	<i>Number of Citations</i>
F309	Care and services to attain/maintain highest practicable level of well-being	5
F157	Facility immediately consults with a physician when a resident has a significant change in condition	3
F314	Services and treatment to prevent and/or to heal pressure ulcers	2
F441	Infection control program designed to investigate, control and prevent the spread of infection	2
F323	Facility is free of hazardous environment; provides supervision and assistive devices to prevent accidents	1
F333	Facility is free of significant medication errors	1
F334	Facility has a system by which it offers pneumococcal and influenza vaccines	1
F365	Food prepared in a form that meet residents' needs	1
F371	Food stored, prepared, and served under sanitary conditions	1
F425	Pharmaceutical services assure the accurate acquiring, receiving, dispensing, and administering of all	1

*Issues cited at Immediate Jeopardy*

- ❖ F309 *Failing to do CPR on full-code resident or not performing CPR in accordance with professional standards of practice (3 citations); failing to assess and address fluid loss; not performing continued monitoring and assessment of a resident with a head injury after a fall; not being prepared and not responding appropriately to a resident with a blown AV fistula.*
- ❖ F157 *Not consulting with MD of a worsening pressure ulcer; not consulting with MD after a fall with a possible head injury; not consulting with MD when neuro signs changed after a fall with a head injury.*
- ❖ F425 *Did not check for drug interactions when taking a newly prescribed medication from contingency*
- ❖ F323 *Did not ensure safe water temperatures*
- ❖ F333 *Medications given to the wrong resident*
- ❖ F365 *Failure to serve a mechanical soft diet*
- ❖ F334 *No program for vaccinating residents against pneumonia (PPSV23 and PCV13)*
- ❖ F371 *Serving undercooked, unpasteurized eggs*





**Southern Regional Office (SRO)  
 Top Ten Federal Health Citations  
 First Quarter 2016**

Rank	SRO Rank 2015	Tag	Description of Regulation	Number of Citations	Number of Cites at Harm or IJ
1 (tied)	7	F314	Services and treatment to prevent and/or to heal pressure ulcers	13	2
1 (tied)	3	F441	Infection control program designed to prevent the development and control the spread of infection	13	0
3	4 (tied)	F425	Pharmaceutical services ensure accurate acquiring and dispensing of medications	12	0
4 (tied)	2	F225	Report and investigate allegations of abuse, mistreatment, neglect and misappropriation	10	0
4 (tied)	9 (tied)	F282	Services provided by qualified personnel in accordance with the plan of care	10	0
4 (tied)	4 (tied)	F329	Each resident's drug regimen is free of unnecessary drugs	10	0
7 (tied)	9 (tied)	F309	Care and services to attain/maintain highest practicable level of well-being	8	3
7 (tied)	1	F323	Facility is free of hazardous environment/supervision and assistive devices to prevent accidents	8	0
7 (tied)	8	F371	Food stored, prepared, distributed, and served in a manner that prevents foodborne illness	8	0
9 (tied)		F279	Develop comprehensive plan of care	6	0
9 (tied)		F280	Periodically review and revise the plan of care	6	0









## Northern Regional Office (NRO) Top Ten Federal Health Citations First Quarter 2016

Rank	NRO Rank 2015	Tag	Description of Regulation	Number of Citations	Number of Cites at Harm or IJ
1	1 (tied)	F371	Food stored, prepared, distributed, and served in a manner that prevents foodborne illness	8	1
2 (tied)	6	F314	Services and treatment to prevent and/or to heal pressure ulcers	7	1
2 (tied)	1 (tied)	F441	Infection control program designed to prevent the development and control the spread of infection	7	0
4 (tied)	3 (tied)	F315	Care and services to restore as much normal bladder function as possible	5	0
4 (tied)		F356	Posting nurse staffing information	5	0
6 (tied)	3 (tied)	F309	Care and services to attain/maintain highest practicable level of well-being	4	1
6 (tied)	8 (tied)	F425	Pharmaceutical services ensure accurate acquiring and dispensing of medications	4	0
8 (tied)	10 (tied)	F225	Report and investigate allegations of abuse, mistreatment, neglect and misappropriation	3	0
8 (tied)	10 (tied)	F282	Services provided by qualified personnel in accordance with the plan of care	3	0
8 (tied)	5	F329	Each resident's drug regimen remains free of unnecessary drugs	3	0
8 (tied)		F332	Free of medication error rates of 5% or higher	3	0







**Northeastern Regional Office (NERO)  
 Top Ten Federal Health Citations  
 First Quarter 2016**

Rank	NERO Rank 2015	Tag	Description of Regulation	Number of Citations	Number of Cites at Harm or IJ
1 (tied)	3	F225	Report and investigate allegations of abuse, mistreatment, neglect and misappropriation	10	0
1 (tied)	2	F441	Infection control program designed to prevent the development and control the spread of infection	10	1
3 (tied)	9	F226	Develop and implement policies prohibiting abuse	9	0
3 (tied)	5	F371	Food stored, prepared, distributed, and served in a manner that prevents foodborne illness	9	0
5 (tied)	7	F323	Facility is free of hazardous environment/supervision and assistive devices to prevent accidents	8	3
5 (tied)		F334	Offer influenza and pneumococcal immunizations	8	1
5 (tied)	6	F425	Pharmaceutical services ensure accurate acquiring and dispensing of medications	8	1
7 (tied)	1	F314	Services and treatment to prevent and/or to heal pressure ulcers	7	0
7 (tied)		F332	Free of medication error rates of 5% or higher	7	0
9 (tied)	8	F156	Provision of info regarding rights	5	0
		F157	Prompt consultation with physician		0
		F278	Revision of care plan		0
		F285	PASARR requirements		0
		F309	Care to promote highest well-being		3




**Southeastern Regional Office (SERO)  
 Top Ten Federal Health Citations  
 First Quarter 2016**

Rank	SERO Rank 2015	Tag	Description of Regulation	Number of Citations	Number of Cites at Harm or IJ
1	1	F323	Facility is free of hazardous environment/supervision and assistive devices to prevent accidents	20	5
2	2	F225	Reporting and investigating allegations of abuse, mistreatment, neglect and misappropriation	19	0
3	3	F309	Care and services to attain/maintain highest practicable level of well-being	16	2
4	4	F314	Services and treatment to prevent and/or to heal pressure ulcers	15	2
5	7	F514	Documentation	13	0
6		F371	Store, prepare, and serve food under sanitary conditions	11	0
7 (tied)	6	F329	Drug regimen is free of unnecessary drugs	10	0
7 (tied)	7 (tied)	F425	Pharmaceutical services ensure accurate acquiring and dispensing of medications	10	1
9 (tied)		F164	Personal privacy	9	0
		F315	Services to restore normal bladder function and prevent infection		
		F353	Sufficient staffing		
	5	F441	Infection control program designed to prevent the development and spread of infection		



## Western Regional Office (WRO) Top Ten Federal Health Citations First Quarter 2016

Rank	WRO Rank 2015	Tag	Description of Regulation	Number of Citations	Number of Cites at Harm or IJ
1	2	F441	Infection control program designed to prevent the development and control the spread of infection	15	0
2 (tied)	1	F280	Periodically review and revise the plan of care	12	0
2 (tied)	6	F309	Care and services to attain/maintain highest practicable level of well-being	12	3
4 (tied)	9	F314	Services and treatment to prevent and/or to heal pressure ulcers	11	3
4 (tied)	3	F323	Facility is free of hazardous environment/supervision and assistive devices to prevent accidents	11	5
4 (tied)	7 (tied)	F329	Each resident's drug regimen is free of unnecessary drugs	11	0
4 (tied)	4	F371	Food stored, prepared, distributed, and served in a manner that prevents foodborne illness	11	0
8	7 (tied)	F225	Report and investigate allegations of abuse, mistreatment, neglect and misappropriation	9	0
9	10	F279	Develop comprehensive plan of care	8	0
10 (tied)	5	F282	Services provided by qualified personnel in accordance with the plan of care	7	0
10 (tied)		F315	Care and services to restore as much normal bladder function as possible	7	0



## Complaint Substantiation Rate 2011-2015

