




**STATE OF WISCONSIN / DEPARTMENT OF HEALTH SERVICES**  
 Division of Quality Assurance / Bureau of Nursing Home Resident Care

<b>TOP TEN FEDERAL HEALTH CITATIONS – NATION, STATE, REGIONAL OFFICE – 2017 First Half</b>						
<b>Nation</b>	<b>State</b>	<b>Southern (Madison)</b>	<b>Southeastern (Milwaukee)</b>	<b>Northeastern (Green Bay)</b>	<b>Northern (Rhinelander)</b>	<b>Western (Eau Claire)</b>
F441 - infection control (2585)	F323 - supervision to prevent accidents (96)	F323 - supervision to prevent accidents (26)	F323 - supervision to prevent accidents (26)	F441 - infection control (29)	F371 - store, prepare, and serve food under sanitary conditions (12)	F441 - infection control (23)
F323 - supervision to prevent accidents (2557)	F441 - infection control (89)	F225 - investigate allegations of abuse (19)	F309 - care promotes highest level of well-being (24)	F314 - prevention of pressure ulcers (27)	F323 - supervision to prevent accidents (10)	F323 - supervision to prevent accidents (18)
F371 - store, prepare, and serve food under sanitary conditions (2288)	F314 - prevention of pressure ulcers (88)	F314 - prevention of pressure ulcers (16)	F314 - prevention of pressure ulcers (23)	F315 - services to restore normal bladder function and prevent infection (26)	F441 - infection control (9)	F314 - prevention of pressure ulcers (15)
F309 - care promotes highest level of well-being (2109)	F371 - store, prepare, and serve food under sanitary conditions (64)	F309 - care promotes highest level of well-being (14)	F329 - drug regimen is free of unnecessary drugs (19)	F371 - store, prepare, and serve food under sanitary conditions (20)	F314 - prevention of pressure ulcers (7)	F309 - care promotes highest level of well-being (13)
<i>F431 - labeling of drugs and biological (1445)</i>	F309 - care promotes highest level of well-being (63)	F329 - drug regimen is free of unnecessary drugs (14)	F225 - investigate allegations of abuse (16)	F323 - supervision to prevent accidents (16)	F309 - care promotes highest level of well-being (6)	<i>F282 - Services meet professional standards/provided in accordance with care plan (10)</i>
<i>F279 - develop comprehensive care plan (1429)</i>	F315 - services to restore normal bladder function and prevent infection (60)	F425 - medication system assures accurate receipt and administration (12)	F441 - infection control (16)	F425 - medication system assures accurate receipt and administration (16)	F315 - services to restore normal bladder function and prevent infection (6)	F315 - services to restore normal bladder function and prevent infection (10)
<i>F514 - documentation (1271)</i>	F225 - investigate allegations of abuse (59)	F441 - infection control (12)	F371 - store, prepare, and serve food under sanitary conditions (15)	F225 - investigate allegations of abuse (14)	F425 - medication system assures accurate receipt and administration (6)	<i>F318 – SERVICES TO INCREASE RANGE OF MOTION OR PREVENT AVOIDABLE DECLINE IN ROM (9)</i>
F329 - drug regimen is free of unnecessary drugs (1264)	F329 - drug regimen is free of unnecessary drugs (55)	F371 - store, prepare, and serve food under sanitary conditions (11)	F425 - medication system assures accurate receipt and administration (15)	<i>F226 – develop and implement policies and procedures prohibiting abuse (12)</i>	<i>F278 – ASSESSMENT MUST ACCURATELY REFLECT RESIDENT STATUS (5)</i>	F329 - drug regimen is free of unnecessary drugs (9)
F225 - investigate allegations of abuse (1099)	F425 - medication system assures accurate receipt and administration (55)	F157 – Prompt MD consultation (10)	F315 - services to restore normal bladder function and prevent infection (14)	<i>F166 – PROMPT EFFORTS TO RESOLVE GRIEVANCES (10)</i>	F329 - drug regimen is free of unnecessary drugs (5)	F225 - investigate allegations of abuse (8)
<i>F226 – DEVELOP AND IMPLEMENT POLICIES AND PROCEDURES PROHIBITING ABUSE (1094)</i>	<i>F157 – PROMPT MD CONSULTATION (32)</i>	<i>F166 – PROMPT EFFORTS TO RESOLVE GRIEVANCES (6)</i>	<i>F157 – PROMPT MD CONSULTATION (12)</i>	<i>F285– PASRR REQUIREMENTS FOR MI AND MR (9)</i>	<i>F332 – Medication error rate is not 5% or greater (4)</i>	<i>F279 – develop comprehensive plan of care (8)</i>
			<i>F279 – DEVELOP COMPREHENSIVE PLAN OF CARE (12)</i>			<i>F280 - periodically review and revise the plan of care (8)</i>

ITALICS – not in State’s Top Ten BLUE/SMALL CAPS -new to Top Ten compared to entity’s 2016 data





## Wisconsin Top Ten Federal Health Citations First Half 2017

Rank	State Rank 2016	Tag	Description of Regulation	Number of Citations	Number of Cites at Harm or IJ
1	1	F323	Facility is free of hazardous environment/supervision and assistive devices to prevent accidents	96	20
2	2	F441	Infection control program designed to prevent the development and control the spread of infection	89	2
3	3	F314	Services and treatment to prevent and/or to heal pressure ulcers	88	27
4	4	F371	Food stored, prepared, distributed, and served in a manner that prevents foodborne illness	64	2
5	6	F309	Care and services to attain/maintain highest practicable level of well-being	63	19
6	8	F315	Care and services to restore as much normal bladder function as possible	60	2
7	5	F225	Report and investigate allegations of abuse, mistreatment, neglect and misappropriation	59	1
8 (tied)	9	F329	Each resident's drug regimen is free of unnecessary drugs	55	1
8 (tied)	7	F425	Pharmaceutical services ensure accurate acquiring and dispensing of medications	55	1
10		F157	Consult promptly with physician following significant condition change	32	7




**Southeastern Regional Office (SERO)  
 Top Ten Federal Health Citations  
 First Half 2017**

Rank	SERO Rank 2016	Tag	Description of Regulation	Number of Citations	Number of Cites at Harm or IJ
1	1	F323	Facility is free of hazardous environment/supervision & assistive devices to prevent accidents	26	6
2	3	F309	Care and services to attain/maintain highest practicable level of well-being	24	7
3	2	F314	Services and treatment to prevent and/or to heal pressure ulcers	23	8
4	9 (tied)	F329	Each resident's drug regimen is free of unnecessary drugs	19	0
5 (tied)	4	F225	Reporting and investigating allegations of abuse, mistreatment, neglect and misappropriation	16	1
5 (tied)	5 (tied)	F441	Infection control program designed to prevent the development and spread of infection	16	1
7 (tied)	7	F371	Store, prepare, and serve food under sanitary conditions	15	1
7 (tied)	8	F425	Pharmaceutical services ensure accurate acquiring and dispensing of medications	15	0
9	9 (tied)	F315	Services to restore normal bladder function and prevent infection	14	0
10 (tied)		F157	Consult promptly with physician following significant condition change	12	3
10 (tied)		F279	Develop comprehensive plan of care	12	0





## Southern Regional Office (SRO) Top Ten Federal Health Citations First Half 2017

Rank	SRO Rank 2016	Tag	Description of Regulation	Number of Citations	Number of Cites at Harm or IJ
1	1	F323	Facility is free of hazardous environment/supervision and assistive devices to prevent accidents	26	6
2	2	F225	Report and investigate allegations of abuse, mistreatment, neglect and misappropriation	19	0
3	3	F314	Services and treatment to prevent and/or to heal pressure ulcers	16	7
4 (tied)	6	F309	Care and services to attain/maintain highest practicable level of well-being	14	4
4 (tied)	8	F329	Each resident's drug regimen is free of unnecessary drugs	14	0
6 (tied)	7	F425	Pharmaceutical services ensure accurate acquiring and dispensing of medications	12	0
6 (tied)	4	F441	Infection control program designed to prevent the development and control the spread of infection	12	1
8	5	F371	Food stored, prepared, distributed, and served in a manner that prevents foodborne illness	11	0
9	9	F157	Consult promptly with physician following significant condition change	10	3
10		F166	Right to prompt efforts to resolve grievances	6	0




## Northeastern Regional Office (NERO) Top Ten Federal Health Citations First Half 2017

Rank	NERO Rank 2016	Tag	Description of Regulation	Number of Citations	Number of Cites at Harm or IJ
1	1	F441	Infection control program designed to prevent the development and control the spread of infection	29	0
2	6	F314	Services and treatment to prevent and/or to heal pressure ulcers	27	5
3	2	F315	Care and services to restore as much normal bladder function as possible	26	0
4	4 (tied)	F371	Food stored, prepared, distributed, and served in a manner that prevents foodborne illness	20	0
5 (tied)	3	F323	Facility is free of hazardous environment/supervision and assistive devices to prevent accidents	16	1
5 (tied)	4 (tied)	F425	Pharmaceutical services ensure accurate acquiring and dispensing of medications	16	0
7	7	F225	Report and investigate allegations of abuse, mistreatment, neglect and misappropriation	14	0
8	8	F226	Policies to prohibit abuse	12	0
9		F166	Right to prompt efforts to resolve grievances	10	0
10		F285	PASRR requirements for MI and MR	9	0





## Northern Regional Office (NRO) Top Ten Federal Health Citations First Half 2017

Rank	NRO Rank 2016	Tag	Description of Regulation	Number of Citations	Number of Cites at Harm or IJ
1	1	F371	Food stored, prepared, distributed, and served in a manner that prevents foodborne illness	12	1
2	7	F323	Facility is free of hazardous environment/supervision and assistive devices to prevent accidents	10	2
3	2	F441	Infection control program designed to prevent the development and control the spread of infection	9	0
4	5 (tied)	F314	Services and treatment to prevent and/or to heal pressure ulcers	7	3
5 (tied)	8	F309	Care and services to attain/maintain highest practicable level of well-being	6	2
5 (tied)	8	F315	Care and services to restore as much normal bladder function as possible	6	0
5 (tied)	4	F425	Pharmaceutical services ensure accurate acquiring and dispensing of medications	6	0
8 (tied)		F278	Assessment must accurately reflect resident status	5	0
8 (tied)	9	F329	Each resident's drug regimen is free of unnecessary drugs	5	0
10	5 (tied)	F332	Medication error rate is not greater than 5%	4	0



## Western Regional Office (WRO) Top Ten Federal Health Citations First Half 2017

Rank	WRO Rank 2016	Tag	Description of Regulation	Number of Citations	Number of Cites at Harm or IJ
1	1 (tied)	F441	Infection control program designed to prevent the development and control the spread of infection	23	0
2	1 (tied)	F323	Facility is free of hazardous environment/supervision and assistive devices to prevent accidents	18	5
3	6	F314	Services and treatment to prevent and/or to heal pressure ulcers	15	4
4	4	F309	Care and services to attain/maintain highest practicable level of well-being	13	3
5 (tied)		F282	Services meet professional standards and are provided in accordance with care plan	10	0
5 (tied)	10	F315	Care and services to restore as much normal bladder function as possible	10	1
7 (tied)		F318	Services to increase range of motion or prevent avoidable decline in range of motion	9	0
7 (tied)	8 (tied)	F329	Each resident's drug regimen is free of unnecessary drugs	9	0
9 (tied)	7	F225	Report and investigate allegations of abuse, mistreatment, neglect and misappropriation	8	0
9 (tied)	8 (tied)	F279	Develop comprehensive plan of care	8	0
9 (tied)	5	F280	Periodically review and revise the plan of care	8	0